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BY EXPRESS MAIL ON

June 1, 2001

(EK 825 217 138 US)

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Box PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

RECORD OF TRANSMITTAL

INVENTORS: (1) Takashi NIWA (5) Nobuyuki KASAMA
(2) Kenji KATO (6) Susumu ICHIHARA
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TITLE: METHOD FOR FORMING APERTURE NEAR FIELD OPTICAL HEAD AND
METHOD FOR FABRICATING THE SAME AND INFORMATION
RECORDING/READING APPARATUS

DOCKET NO: S004-4309

S I R:

Kindly find enclosed herewith for filing the documents
checked below. Any fees or charges not covered by the
accompanying check should be charged to our Deposit Account
No. 01-0268.

APPLICABLE ITEMS CHECKED:

XX PATENT APPLICATION (pages 1-56 of specification, 20
claims (pages) 53-56 and abstract of the
disclosure, (page) 57).

 DESIGN APPLICATION (specification, claim, declaration and
power of attorney and photograph(s))

XX FORMAL DRAWINGS (20 sheets) (NI A4)

 INFORMAL DRAWINGS (sheets)

 RECORDATION FORM COVER SHEET and ASSIGNMENT
(to be recorded and returned to the undersigned)

XX PRIORITY CLAIM - applicant(s) claim the benefit of
priority of the following application(s):

Japanese Patent Appln. No. 2000-173852 filed June 9, 2000
Japanese Patent Appln. No. 2000-371828 filed December 6, 2000
Japanese Patent Appln. No. 2000-379266 filed December 13, 2000
Japanese Patent Appln. No. 2001-126415 filed April 24, 2001

XX PRIORITY DOCUMENT(s)

 Enclosed XX Not Enclosed

 VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

XX EXPRESS MAIL CERTIFICATION

 OTHER -

XX FEE

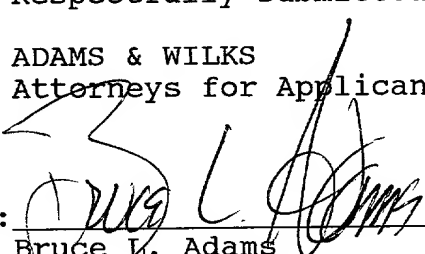
A check is enclosed for the following official fees:

	Large Entity	Small Entity
<u>XX</u> Basic Filing Fee	\$ 710.00	\$
<u>2</u> Independent Claims in Excess of 3	\$ 160.00	\$
<u>0</u> Total Claims in Excess of 20	\$	\$
<u> </u> Multiple Claim Fee	\$	\$
<u> </u> Assignment Recordal Fee	\$	\$
TOTAL	\$ 870.00	\$

Respectfully submitted,

ADAMS & WILKS
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By: 
Bruce L. Adams
Reg. No. 25,386

Re: New patent application of Takashi NIWA et al.

for METHOD FOR FORMING APERTURE NEAR FIELD OPTICAL HEAD AND
METHOD FOR FABRICATING THE SAME AND INFORMATION
RECORDING/READING APPARATUS.

comprising transmittal letter, title, specification (pgs.1-56),
20 claims (pgs.53-56), abstract of the disclosure (pg.57), 20
sheets of formal drawings containing thereon Figs. 1-20, express
mail certification and check in the amount \$870.00

Attorney's Docket No: S004-4309

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

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Michael Ruas